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		ent and Trademark Office: L	J.S. DEPARTM	ENT OF COMMERCE	
Rev. 10/95 U.S. Department o		Application Number	09/854,325		
		Filing Date	May 12, 2001		
TRANSMITTAL FORM  (to be used for all correspondence during pendency of filed application)		First Named Inventor	Semret	Tec	
		Group Art Unit Number	2164	Technology	
		Examiner Name	Unknown	эду С	
Total Number of Pages in This Submission	12	Attorney Docket Number	61624-04980	Center	
ENCL	OSURES	(check all that apply	y)	27	
Fee Transmittal Form (in duplicate)		Issue Fee Trans		8	
Check Enclosed		Letter to Chief D	raftsperson		
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Response to Notice to File Missing Pa	rto	1 -	•	,	
Assignment & Recordation Cover She		, <u> </u>	[ / Sheet(s) of Figure(s) [ ] Appeal Communication to Board of Appeals and		
Declaration (executed)	GL	Interferences	ilozilon to Board	a of Appeals and	
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Application Data Sheet		(Appeal Notice,			
Information Disclosure Statement & P	TO-1449	Certified Copy of		•	
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SIGNATURE OF ATTORNEY OR AGENT					
Signature: Laura	Maj	rus			
Attorney/Reg. No.: Laura A. Majerus/33	,417		Dated:	Oct 2,2001	
	CERTIFIC	ATE OF MAILING			
I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.					
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Typed or Printed Name: Laura A. Majer	rus	<i>t</i>	Dated:	Oct 2,2001	
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61624/04980/DOCS/1197709.2



## IN THE UNITED STATES

## PATENT AND TRADEMARK OFFICE

APPLICANT(S):

Nemo Semret and Giovanna Giammarino

APPLICATION NO.:

09/854,325

FILING DATE:

May 12, 2001

TITLE:

Method and System for Market Based Resource Allocation

**EXAMINER:** 

Unknown

**GROUP ART UNIT:** 

2164

ATTY. DKT. NO.:

61624-04980

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, Washington, D.C. 20231, on the date shown below:

Dated:

Bv:

Laura A. Majerus, Reg. No.:

PATENT

COMMISSIONER FOR PATENTS WASHINGTON, DC. 20231

## INFORMATION DISCLOSURE STATEMENT Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO-1449 listing references for consideration by the Examiner. A copy is enclosed herewith of each listed reference which may be material to the examination of this application, and with respect to which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application Serial No. [SERIAL NUMBER], filed on [FILING DATE], and the references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).

The Information Disclosure Statement submitted herewith is being filed:

$\boxtimes$	within	within three months of the filing date of the application, or date of entry				
	into the	into the national stage of an international application, or before the mailing				
	date of	a first	official action on the merits, whichever event last occurred;			
	OR					
	before	the mai	iling of a first official action after the filing of a request for			
	continu	ied exa	mination (RCE) under 37 CFR § 1.114;			
	after three months of the filing date of this national application or the date					
_	of entry of the national stage in an international application, or after the					
	mailing	g date o	of the first official action on the merits, whichever event last			
	_	-	before the mailing date of the first to occur of either:			
	<ul> <li>(1) a final action under 37 CFR §1.113; OR</li> <li>(2) a notice of allowance under 37 CFR §1.311; AND</li> </ul>					
	attached hereto is the fee of \$180, as set forth under 37 CFR					
§1.17(p), for submission of this Information Disclosure S						
		37 CFR.§ 1.97(c); OR				
		Appli	cant certifies pursuant to 37 CFR § 1.97(e) that:			
			each item of information contained in this Information			
			Disclosure Statement was cited in a communication from a			
			foreign patent office in a counterpart foreign application not			
			more than three months prior to the filing of this Statement;			
			OR			
			no item of information contained in this Information			
			Disclosure Statement was cited in a communication from a			
			foreign patent office in a counterpart foreign application or,			
			to the knowledge of the person signing this certification			
			after making reasonable inquiry, was known to any			
			individual designated under 37 CFR § 1.56(c) more than			
			three months prior to the filing of this Statement.			
	OR					
		the par	yment of the issue fee but after the mailing date of the first to			
	[1] a final action under 37 CFR § 1.113; OR					
			•			

**PATENT** 

[2]	a notice of anowance under 37 CFR § 1.311; AND	
	in accordance with the requirements of 37 CFR § 1.97(d):	
	Applicant certifies pursuant to 37 CFR. § 1.97(e) that:	
	each item of information contained in this Information	
	Disclosure Statement was cited in a communication from a	
	foreign patent office in a counterpart foreign application not	
	more than three months prior to the filing of this Statement; OR	
	no item of information contained in this Information	
	Disclosure Statement was cited in a communication from a	
	foreign patent office in a counterpart foreign application or,	
	to the knowledge of the person signing this certification	
	after making reasonable inquiry, was known to any	
	individual designated under 37 CFR § 1.56(c) more than	
	three months prior to the filing of this Statement; AND	
	Applicant hereby respectfully petitions for the consideration of	
	the accompanying Information Disclosure Statement under 37 CFR	
	§ 1.97(d)(2); AND	
	Applicant submits the petition fee of \$180 as set forth in 37 CFR § 1.17(p).	
Applicant subsaccompanying Information D	mits that no fee is required for the consideration of the visclosure Statement.	
Consideration of the l	isted references and favorable action are solicited.	
	Respectfully submitted, SEMRET ET AL.	
Dated: 00 2,20	Laura A. Majerus, Reg. No.: 33,417 Fenwick & West LLP Two Palo Alto Square Palo Alto, CA 94306 Tel.: (650) 858-7152 Fax.: (650) 494-1417	